

## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Deraktiment of Commi United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/567,585

Dennis Hill

7744P003

INTERNATIONAL APPLICATION NO.

PCT/NZ04/00173

I.A. FILING DATE PRIORITY DATE

08/04/2004

\*OC0000000195737241

08/04/2003

Blakely Sokoloff Taylor & Zafman E C 12400 Wilshire Boulevard 7th Flod Los Angeles, CA 90025

JUL 1 7 2006

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

**CONFIRMATION NO. 2540 371 FORMALITIES LETTER** 

LOS ANGELES

Date Mailed: 07/12/2006

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 02/06/2006
- Copy of the International Search Report filed on 02/06/2006
- Copy of IPE Report filed on 02/06/2006
- Copy of Annexes to the IPER filed on 02/06/2006
- Preliminary Amendments filed on 02/06/2006
- U.S. Basic National Fees filed on 02/06/2006
- Priority Documents filed on 02/06/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

STATUS DB-LA

• \$65 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

#### KAREN M WILLIAMS

Telephone: (703) 308-9140 EXT 213

#### PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO |  |
|-----------------------------|-------------------------------|-----------------|--|
| 10/567,585                  | PCT/NZ04/00173                | 7744P003        |  |

FORM PCT/DO/EO/905 (371 Formalities Notice)

IAP6 Rec'd PCT/PTO 15 SFP ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 7744P003 DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (If known, see 37 CFR 1.5) CONCERNING A FILING UNDER 35 U.S.C. 371 10/567,585 PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE 4 August 2004 4 August 2003 PCT/NZ2004/000173 TITLE OF INVENTION CIRCULATION AND EXTERNAL VENTING UNIT APPLICANT(S) FOR DO/EO/US Dennis Hill Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: .1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371. 2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. is attached hereto (required only if not communicated by the International Bureau). b. has been communicated by the International Bureau. c. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. is attached hereto. b. has been previously submitted under 35 U.S.C. 154(d)(4)). 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3) a. 

are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. c. have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. 16. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 18. A second copy of the published international application under 35 U.S.C. 154(d)(4)). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4)).

Response to Notification of Missing Requirements; copy of International Search Report; copies of cited foreign art

references; copy of Notification of Missing Requirements; return receipt postcard.

20. X Other items or information.

|  | U.S. APPLICATIO  | S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO.  |                                       |             |                            |                 | ATTORNEY'S DOCKET NUMBER |             |              |                                       |               |
|--|--|--|---------------------------------------|-------------|----------------------------|-----------------|--------------------------|-------------|--------------|---------------------------------------|---------------|
|  | 10/5   | 67,585   | i                                     |             | PCT/NZ2004/000173 7744P003 |                 |                          |             |              | 03                                    |               |
| ^  | The follo  | wing fe  | es have bee                           | n submitted |                            | CALCULATIONS    |                          |             |              |                                       | PTO USE ONLY  |
|  |  |  |                                       |             |                            | \$300           | \$                       |             |              |                                       |               |
| ,  | Examination fee (37 CFR 1.492(c))  If the written opinion prepared by ISA/US or the interntional preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33 (1)-(4) \$0  All other situations  |  |                                       |             |                            |                 | \$0                      | \$          |              |                                       |               |
| ,  | If the written opini<br>by IPEA/US in<br>Search fee (37 Cl<br>International S<br>International Sear<br>previously com  | Search fee (37 CFR 1.492(b)) In opinion prepared by ISA/US or the interntional preliminary examination report prepared by US indicates all claims satisfy provisions of PCT Article 33 (1)-(4) |                                       |             |                            |                 |                          |             |              |                                       |               |
|  |  |  |                                       |             |                            | TOTAL           | OF 21,                   | 22 and 23 = | \$           |                                       |               |
| , ,  | Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250.00 for each additional 50 sheets of paper or fraction thereof.  Number of each additional 50 or fraction |  |                                       |             |                            |                 | luding<br>e fee is       |             |              |                                       |               |
|  | Total Sheets   | Extra  | a Sheets                              |             |                            | to a whole numl |                          | RATE        |              |                                       |               |
|  | 16 - 100=  | -8   | 4 / 50 =                              |             |                            |                 |                          | X           | \$           | · · · · · · · · · · · · · · · · · · · |               |
|  | Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).   |  |                                       |             |                            |                 |                          |             | \$           | 130.00                                |               |
|  | CLAIMS   |  | NUMBE                                 | R FILED     | NUM                        | BER EXTRA       |                          | RATE        |              | 0.00                                  |               |
|  | Total claims   |  |                                       | 12 - 20 =   |                            | 0               | X                        | 50<br>200   | \$           | 0.00                                  |               |
|  | Independent cla  |  |                                       |             |                            |                 |                          | ****        | \$ \$        | 0.00                                  |               |
|  | MULTIPLE DEPENDENT CLAIM(S) (if applic   |  |                                       |             | T/                         | OTAL OF ABOVE   | CALCII                   | 360         | \$           | 130.00                                | -             |
|  | TOTAL OF ABOVE CALCULATIONS =  Applicant claims small entity status. See 37 CFR 1.27. The fees are reduced by 1/2.   |  |                                       |             |                            |                 |                          |             | \$           | 130.00                                |               |
|  | SUBTOTAL =   |  |                                       |             |                            |                 |                          |             | \$           | 65.00                                 |               |
|  | Processing fee months from th  | Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).  |                                       |             |                            |                 |                          |             |              |                                       |               |
| TOTAL NATIONAL FEE = \$ 65.00  |  |  |                                       |             |                            |                 |                          |             |              |                                       |               |
|  | Fee for recording the enclosed assignment (37 CFR 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property \$ 40.00  |  |                                       |             |                            |                 |                          |             |              |                                       |               |
|  | Other fee (specify):   |  |                                       |             |                            |                 |                          |             |              |                                       |               |
|  |  |  | · · · · · · · · · · · · · · · · · · · |             |                            | TOTAL F         | EES EN                   | CLOSED =    | \$           | 105.00                                |               |
| 09/21/20   | 06 GFREY1 000  | 00098  | 10567585                              |             |                            | Amo             | unt to b                 | e refunded: | //           | 777777                                | s             |
| A1 EC-26   | 17   |  | -65-00-1                              | 10          |                            |                 |                          | charged:    | $\mathbb{Z}$ |                                       | \$            |
| , vi ruici   | a. A check(s) in the amount of \$ 105.00 to cover the above fees is enclosed.  |  |                                       |             |                            |                 |                          |             |              |                                       |               |
|  | b. Please charge my Deposit Account No. <u>02-2666</u> in the amount of \$ <u>105.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.  |  |                                       |             |                            |                 |                          |             |              |                                       |               |
|  | c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>02-2666</u> . A duplicate copy of this sheet is enclosed.  |  |                                       |             |                            |                 |                          |             |              |                                       |               |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revi<br>1.137 (a) or (b) must be filed and granted to restore the application to pending status. |  |  |                                       |             |                            |                 | vive (37 CFR             |             |              |                                       |               |
|  | SEND ALL COR   | SEND ALL CORRESPONDENCE TO:  SEND ALL CORRESPONDENCE TO:  September 12, 2006  DATE  SIGNATURE  |                                       |             |                            |                 |                          |             |              | 1 Dates                               | <del>'/</del> |
|  | I Diancily, Condidit, Taylor a Zamilan Zz.   |  |                                       |             |                            |                 | Stephe                   | n N         | 1. De Klerk  | <u> </u>                              |               |
|  | Los Angeles, CA 90025 Telephone: (408) 720-8300  46,503 REGISTRA   |  |                                       |             |                            |                 |                          | TIO         | N NUMBER     |                                       |               |

**Patent** 

Attorney's Docket No.: 007744.P003

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE FILING UNDER 35 U.S.C. § 371

| In re P | atent Application of:                 | )           |           |                                   |
|---------|---------------------------------------|-------------|-----------|-----------------------------------|
|         | Dennis Hill                           | )           | Evaminan  | Not Vot Assigned                  |
| Applic  | ation No.: 10/567,585                 | )           |           | Not Yet Assigned Not Yet Assigned |
| Filed:  | February 6, 2006                      | )           | THE OTHE. | 140t let 1100ignea                |
| For:    | CIRCULATION AND EXTERNAL VENTING UNIT | )<br>)<br>) |           |                                   |

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. § 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

#### Dear Sir:

In response to the Notification of Missing Requirements Under 35 U.S.C. § 371 in the United States Designated/Elected Office (DO/EO/US) mailed on July 12, 2006, please find enclosed:

- (1) The Declaration and Power of Attorney executed by the inventor in compliance with 37 CFR § 1.497(a) and (b); and
- (2) A copy of the Notification of Missing Requirements Under 35 U.S.C. § 371 in the United States Designated/Elected Office (DO/EO/US).

(3) A copy of the Notification of Missing Requirements Under 35 U.S.C. § 371 in the United States Designated/Elected Office (DO/EO/US).

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of this Response is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

Dated: September 12, 2006

Stephen M. De Klerk Reg. No. 46,503

12400 Wilshire Boulevard Seventh Floor Los Angeles, California 90025 (408) 720-8300

#### First-Class Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia, 22313-1450 on:

September 12, 2006

Date of Deposit

Linda K. Brost

Name of Person Mailing Correspondence

Guda W. Brost
Signature

Date